Atty. Dkt. No. 355901-1860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Manuel GAUDON, et al.

Title:

METHOD FOR PREPARING

METAL OXIDE LAYERS

Appl. No.:

10/561,581

Filing Date: December 19, 2005

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

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Lisa Kaiser (Printed Name) (Signature) 8/29/06 (Date of Deposit)

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents for the above-identified application:

- [X] Information Disclosure Statement (3 pgs.);
- [X] PTO/SB/08 (1 pg.);
- [X] Four References;
- [X] Copy of an International Search Report (3 pages); and
- [X] Return Postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date August 29 2006

FOLEY & LARDNER LLP

Customer Number: 38706

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Traci H. Ropp

Attorney for Applicant Registration No. 44,307



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Manuel GAUDON, et al.

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(Printed Name)

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8/29/06

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action on the merits.

RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding PCT Application No. PCT/FR2004/050278 filed on June 17, 2004, which claims the benefit of French Patent Application No. 03/50233 filed on June 19, 2003. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner. An English-language counterpart of the foreign-language document WO 02/086194 has not been provided. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609). Additionally, US Patent 6,953,603, also cited in the attached PTO/SB/08, corresponds to WO 02/086194.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

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Traci H. Ropp

Attorney for Applicant Registration No. 44,307

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Simetrute for form 1449B/PTO Complete if Known 10/561,581 INFORMATION DISCLOSURE **Application Number** STATEMENT BY APPLICANT Filing Date December 19, 2005 **First Named Inventor** Manuel GAUDON Date Submitted: August 25, 2006 **Group Art Unit** Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned Sheet 1 of Attorney Docket Number 355901-1860

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ² (if known)	Cited Document MM-DD-YYYY			
	A1	6,953,603		Nonninger and Binkle	10-11-2005	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent D	Oocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
	B1	WO 02/086194		ITN-NANOVATION GMBH	10-31-2002			
				(English Abstract)				

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	of the mber(s),	
	C1	JINGEHUAN, ZHU, et al., "Fabrication of Zirconia-Nickel Functionally Graded Material by Dip-Coating"; Functionally Graded Materials 2000; (2000), pp. 483-489; Ceramic Transactions Vol. 114.		
	C2	MIKKOLA, P., et al.; "Colloidal Processing of Aluminum Oxide Powder for Membrane Applications"; Ceramics International 29; (2003), pp. 393-401; Elsevier Science Ltd and Techna S.r.I.		
	C3	TSENG, T.Y., et al.; Rheological Properties of the Aqueous Zirconia/Colloidal Zirconia Binder Sol System"; Journal of Materials Science 24; (1989), pp. 2735-2738; Chapman and Hall Ltd.		
	C4	YANG, X, et al.; "Thin Films by Consolidation and Sintering of Nanocrystalline Powders"; Journal of the European Ceramic Society 17; (1997), pp. 525-535; Elsevier Science Ltd.		

Examiner		Date	
Signature		Considered	
<u> </u>			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language